

CCES – College of Computer Engineering and Sciences

Prince Sattam Bin Abdulaziz University

CS600: Advanced AI

First-Order Logic

Chapter 5 — Predicates, Quantifiers, Unification, and Resolution

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Artificial Intelligence Course

Why FOL & Syntax
Quantifiers & Semantics
Unification & Inference

Resolution & Summary

Learning Objectives

- ▶ See the **limitations** of propositional logic
- ▶ Master FOL **syntax**, **quantifiers**, and **semantics**
- ▶ Apply **unification**, substitution, and **Skolemization**
- ▶ Reason with **chaining** and **resolution**

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Section 1

Why FOL & Syntax

Why First-Order Logic? | Propositional logic cannot generalize

The problem

“All mammals give birth to live babies; a cat is a mammal; therefore a cat does.”

In propositional logic you would need a *separate rule for every object* — unwieldy or impossible for large domains.

FOL adds

- ▶ **Objects** — entities in the domain
- ▶ **Relations** — links between objects
- ▶ **Functions** — object $\rightarrow$ object
- ▶ **Quantifiers** — $\forall$ (all), $\exists$ (some)
- ▶ **Variables** — bind to any object

SQL, RDF/OWL, and Prolog are all built on FOL.

Syntax: Terms, Predicates, Atoms | The building blocks

- ▶ **Constants:** JOHN, BEEF
- ▶ **Variables:** x, y, z
- ▶ **Functions:** $\text{Father}(x)$ — object $\rightarrow$ object
- ▶ **Predicates:** $E(x, y)$ — return truth values

Terms (denote objects)

constant ■ variable ■ function of terms:
 $\text{Father}(\text{Father}(x))$

Atomic formula

$P(t_1, \dots, t_n)$ applies a predicate to terms.

$$F(\text{Spielberg}, \text{SPR}, \text{BestDir}) = \text{True}$$

Same connectives as before: $\neg$, $\wedge$, $\vee$, $\rightarrow$, $\leftrightarrow$, now over predicates.

Section 2

Quantifiers & Semantics

Quantifiers | Statements about whole domains

Universal $\forall$

“for all x ” — a big **conjunction**.

$$\forall x [\text{Mammal}(x) \rightarrow \text{LiveBirth}(x)]$$

$$\forall x P(x) \equiv P(a) \wedge P(b) \wedge \dots$$

Existential $\exists$

“there exists x ” — a big **disjunction**.

$$\exists x [N(x) \wedge E(x, \text{Beef})]$$

$$\exists x P(x) \equiv P(a) \vee P(b) \vee \dots$$

Common mistake

$\exists x [N(x) \rightarrow E(x, \text{Beef})]$ is **wrong** for “some non-vegetarian eats beef” — it is true whenever any vegetarian exists. Use $\wedge$ with $\exists$.

Quantifier Order & Negation | Order changes meaning

Mixed order matters

$$\forall x \exists y E(x, y)$$

everyone eats *something* (maybe different).

$$\exists y \forall x E(x, y)$$

one food *everyone* eats — much stronger!

$$\forall x \exists y \not\equiv \exists y \forall x$$

De Morgan for quantifiers

$$\neg(\forall x \alpha) \equiv \exists x \neg \alpha$$

$$\neg(\exists x \alpha) \equiv \forall x \neg \alpha$$

Pushing $\neg$ through a quantifier **flips its type**.

Same-type quantifiers commute: $\forall x \forall y \equiv \forall y \forall x$.

An **interpretation** fixes:

- ▶ a non-empty **domain**
- ▶ each **constant** $\rightarrow$ an object
- ▶ each **function** $\rightarrow$ a mapping
- ▶ each **predicate** $\rightarrow$ truth values

$\forall x \alpha$ true iff α holds for every object; $\exists x \alpha$ iff for *some*.

Valid / Satisfiable

Valid: true in all models — $\forall x [P(x) \vee \neg P(x)]$.

Unsatisfiable: $\exists x [P(x) \wedge \neg P(x)]$.

Entailment

$KB \models \alpha \iff (KB \wedge \neg \alpha)$ unsatisfiable — the basis of **proof by contradiction**.

Section 3

Unification & Inference

Substitution & Unification | Making expressions identical

Substitution $\{x/t\}$ replaces a variable with a term:

$$P(x, f(y))\{x/a, y/b\} = P(a, f(b))$$

MGU

The **most general unifier** commits to the fewest bindings — e.g. unify $P(x), P(y)$ with $\{x/y\}$, not $\{x/a, y/a\}$.

Unify	θ	
$P(x), P(a)$	$\{x/a\}$	✓
$P(x, f(y)), P(a, f(b))$	$\{x/a, y/b\}$	✓
$P(x, f(y)), P(y, f(a))$	$\{x/a, y/a\}$	✓
$P(x, x), P(a, b)$	—	✗

Occur check forbids $x = f(x)$ (infinite terms).

Generalized Modus Ponens

$$\frac{P'_1, \dots, P'_n, (P_1 \wedge \dots \wedge P_n \rightarrow Q)}{Q\theta}$$

where θ unifies each P'_i with P_i .

Rule $\forall x, y [E(x, y) \wedge M(y) \rightarrow N(x)]$ with $E(\text{John}, \text{Beef}), M(\text{Beef})$ gives $N(\text{John})$.

Instantiation

- **Universal:** $\frac{\forall x \alpha}{\alpha\{x/t\}}$
- **Existential:** introduce a *new* Skolem constant

Skolem constant

$\exists$ not inside $\forall$:

$$\exists x P(x) \Rightarrow P(c)$$

c is a new constant.

Skolem function

$\exists$ inside $\forall$:

$$\forall x \exists y E(x, y) \Rightarrow \forall x E(x, f(x))$$

the choice of y **depends on** x .

Nested example

$$\forall x \exists y [\forall z \exists w P(x, y, z, w)]$$

becomes

$$\forall x \forall z P(x, f(x), z, g(x, z))$$

- ▶ $f(x)$ depends on x
- ▶ $g(x, z)$ depends on x and z

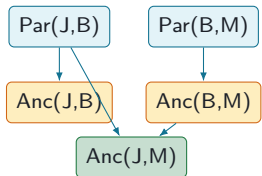
Forward vs. Backward Chaining | Definite clauses in FOL

Ancestor example

Rules: $\text{Parent}(x, y) \rightarrow \text{Anc}(x, y)$;

$\text{Parent}(x, z) \wedge \text{Anc}(z, y) \rightarrow \text{Anc}(x, y)$.

Facts: $\text{Parent}(\text{John}, \text{Bob})$, $\text{Parent}(\text{Bob}, \text{Mary})$.



	Forward	Backward
Driven by	Data	Goal
Search	Breadth	Depth
Space	Higher	Lower
Best for	Many queries	One query
Both sound & complete for definite clauses.		



Section 4

Resolution & Summary

1. Eliminate $\rightarrow, \leftrightarrow$
2. Move $\neg$ inward (incl. quantifiers)
3. Standardize variables apart
4. **Skolemize** (remove $\exists$)
5. Drop universal quantifiers
6. Distribute $\vee$ over $\wedge$

Example

$$\begin{aligned} & \forall x [\text{Cat}(x) \rightarrow \exists y \text{Owns}(y, x)] \\ \Rightarrow & \forall x [\neg \text{Cat}(x) \vee \exists y \text{Owns}(y, x)] \\ \Rightarrow & \forall x [\neg \text{Cat}(x) \vee \text{Owns}(f(x), x)] \\ \Rightarrow & \neg \text{Cat}(x) \vee \text{Owns}(f(x), x) \end{aligned}$$

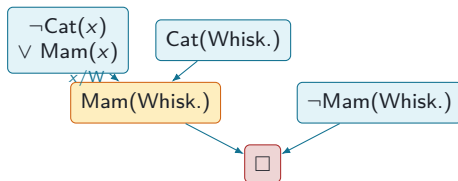
Now a single CNF clause.

Resolve on complementary literals unified by θ :

$$\frac{\ell \vee A, \quad m \vee B}{(A \vee B)\theta}, \quad \theta = \text{UNIFY}(\ell, \neg m)$$

Prove Whiskers is a mammal

- (1) $\neg \text{Cat}(x) \vee \text{Mammal}(x)$
- (2) $\text{Cat}(\text{Whiskers})$
- (3) $\neg \text{Mammal}(\text{Whiskers})$ (negated query)



Empty clause $\square \Rightarrow KB \models \text{Mammal}(\text{Whiskers})$.

Propositional vs. First-Order Logic | More power, less decidability

Aspect	Propositional	First-Order
Objects / Relations / Functions	No	Yes
Quantifiers	No	Yes ($\forall, \exists$)
Expressiveness	Limited	High
Decidability	Decidable (NP-complete)	Semi-decidable

Semi-decidable: if $KB \models \alpha$, resolution will prove it; if not, it may run forever.

Represent

- ▶ Objects, relations, functions
- ▶ **Quantifiers** $\forall, \exists$ and variables
- ▶ Interpretations & entailment

Reason

Unification, Skolemization, chaining, and resolution.

Why it matters

Foundations of expert systems, the semantic web, databases (SQL), planning, and theorem proving.

Looking ahead

Ch. 6: from logic to **machine learning** — inductive reasoning.

Thank you!

Questions & Discussion

Next: Chapter 6 — Machine Learning